

(11) Let  $X = \{(x, y, z) \mid x^2 + y^2 + z^2 \leq 1\}$ . Set

$$A = \{(x, y, z) \in X \mid x^2 + y^2 + z^2 = 1 \text{ and } z > 0\} \text{ and}$$

$$B = \{(x, y, z) \in X \mid x^2 + y^2 + z^2 = 1 \text{ and } z < 0\}.$$

Define  $f: A \rightarrow B$  by  $f(x, y, z) = (x, y, -z)$ , and show that

$X_f$  is homeomorphic to  $S^3 = \{(x, y, z, u) \in \mathbb{R}^4 \mid x^2 + y^2 + z^2 + u^2 = 1\}$ .

Solution:

We begin with a lemma:

Lemma: Let  $B_i$ ,  $i=1, 2$  denote two copies of the 3-dimensional unit ball:

$$B = \{(x, y, z) \mid x^2 + y^2 + z^2 \leq 1\} \text{ (this is } X \text{ renamed).}$$

Then  $S^3 = B_1 \cup_{\varphi} B_2$ , where  $\varphi: \partial B_1 \rightarrow \partial B_2$  is the identity map  $\varphi(x, y, z) = (x, y, z)$ .

Proof:

Define  $p: S^3 \rightarrow B^3$  by  $p(x, y, z, u) = (x, y, z)$ .

Denote the restrictions to

$$S^3_+ = \{(x, y, z, u) \in S^3 \mid u \geq 0\} \text{ or}$$

$$\{(x, y, z, u) \in S^3 \mid u \leq 0\}.$$

by  $p_{\pm}: S^3_{\pm} \rightarrow B^3$ . Then each of  $p_+$  and  $p_-$  is a homeomorphism with  $B^3$ , since

$$p_{\pm}^{-1}(x, y, z) = (x, y, z, \pm \sqrt{1-x^2-y^2-z^2})$$

defines a continuous inverse  $p_{\pm}^{-1} : B^3 \rightarrow S^3_{\pm}$ .

(Note  $p_{\pm}$  and  $p_{\pm}^{-1}$  are all continuous maps since they are continuous in every coordinate).

Thus  $S^3 \cong B_1^3 \cup_q B_2^3$ , where it remains to determine the identification of  $\partial B_1^3$  and  $\partial B_2^3$ . The gluing map is given by

$$\varphi : \partial B_1^3 \xrightarrow{p_+^{-1}} S_+^3 \cap S_-^3 \xrightarrow{p_-} \partial B_2^3$$

$$\{(x, y, z, u) \in S^3 \mid u=0\} \cong \{(x, y, z) \mid \begin{matrix} x^2 + y^2 + z^2 = \\ \|z\|^2 \end{matrix} \partial B^3\}.$$

The formula for  $\varphi$  is

$$\begin{aligned} \varphi(x, y, z) &= p_-(p_+^{-1}(x, y, z)) = p_-(x, y, z, \sqrt{1-x^2-y^2-z^2}) \\ &= p_-(x, y, z, 0) = (x, y, z) \end{aligned}$$

So the lemma is proved.

Claim: Divide the ball  $B^3$  into hemispheres

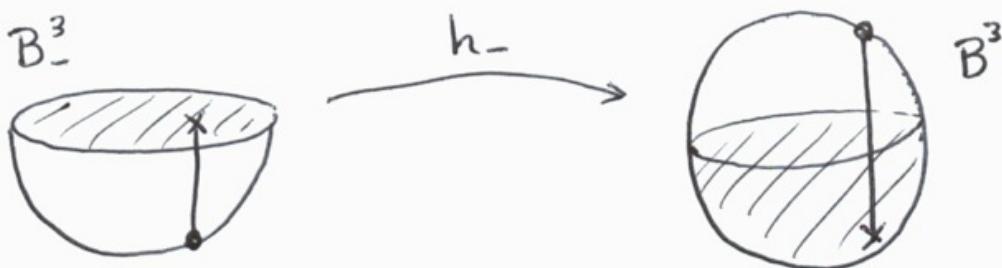
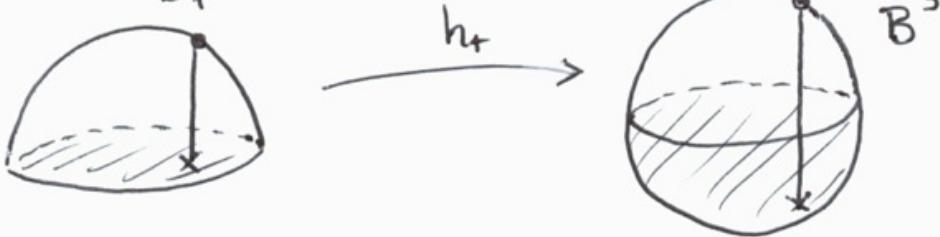
$$B_-^3 = \{(x, y, z) \in B^3 \mid z \leq 0\}$$

$$B_+^3 = \{(x, y, z) \in B^3 \mid z \geq 0\}$$

Then each hemisphere is homeomorphic to a copy of  $B^3$  via a homeomorphism transforming

$$X_f = B_-^3 \oplus B_+^3 \not\sim \text{ into } S^3 = B^3 \cup_q B^3.$$

Proof: Idea:  $B_+^3$



Given  $(x, y)$  satisfying  $x^2 + y^2 \leq 1$ , define  $h_+^{x,y}$  to be any homeomorphism with

$$h_+^{x,y} : [0, \sqrt{1-x^2-y^2}] \rightarrow [-\sqrt{1-x^2-y^2}, \sqrt{1-x^2-y^2}]$$

$$\text{and } h_+^{x,y}(0) = -\sqrt{1-x^2-y^2}, \quad h_+^{x,y}(\sqrt{1-x^2-y^2}) = \sqrt{1-x^2-y^2}.$$

Define  $h_-^{x,y}$  to be any homeomorphism

$$h_-^{x,y} : [-\sqrt{1-x^2-y^2}, 0] \rightarrow [-\sqrt{1-x^2-y^2}, \sqrt{1+x^2-y^2}]$$

satisfying  $h_-^{x,y}(0) = -\sqrt{1-x^2-y^2}$ ,  $h_-^{x,y}(\sqrt{1-x^2-y^2}) = \sqrt{1-x^2-y^2}$ .

Define

$$h: X_f \cong \overline{B_-^3 \oplus B_+^3} / \sim \longrightarrow B^3 \cup_\varphi B^3 \cong S^3$$

by 
$$h(x,y,z) = \begin{cases} (x,y,h_-^{x,y}(z)) & \text{if } (x,y,z) \in B_-^3 \\ (x,y,h_+^{x,y}(z)) & \text{if } (x,y,z) \in B_+^3. \end{cases}$$

Then by construction,  $h(x,y,z)$  is well defined on equivalence classes.

Question 8: Show that if  $\mathbb{R}^2$  is homeomorphic to a CW complex  $Y$  of dimension 2, then there is a subcomplex  $Y'$  that is homeomorphic to  $\mathbb{R}$ .

Solution: Here is a counterexample to the claim.

We will provide  $Y$  homeomorphic to  $\mathbb{R}^2$  by describing the image of  $Y$  under a homeomorphism  $h: Y \rightarrow \mathbb{R}^2$ .

The image  $h(X^\circ)$  is the set  $\{(n, 0) \mid n \in \mathbb{N}^+\}$ .

For each  $i \in \mathbb{N}^+$ , there are two 1-cells  $e_i$  and  $e_i^{\text{circ}}$ .  
The image of  $e_i$  under  $h$  is:

$$h(e_i) = \{(x, 0) \mid x \in [i, i+1]\}$$

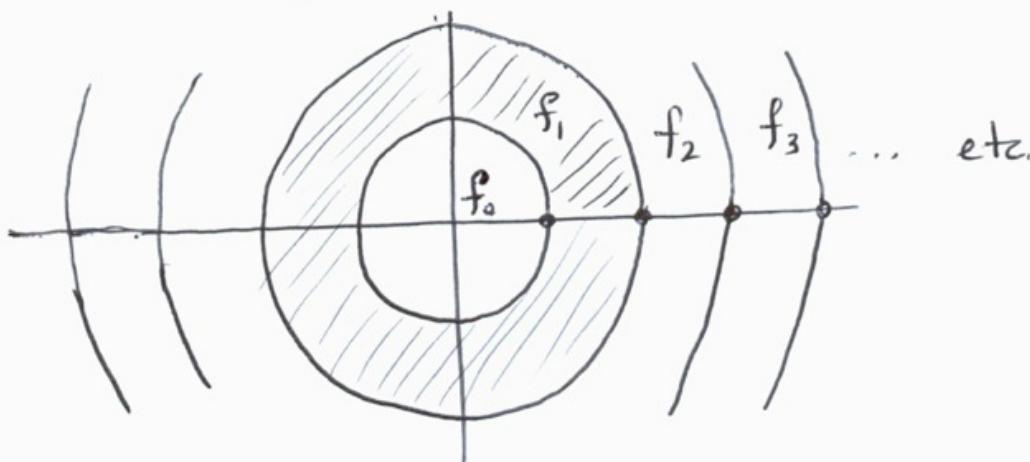
while  $h(e_i^{\text{circ}}) = \{(x, y) \mid x^2 + y^2 = i^2\}$ .

There is one 2-cell  $f_i$  for each  $i \in \mathbb{N}$ . The image under  $h$  is:

$$h(f_0) = \{(x, y) \mid x^2 + y^2 \leq 1\}.$$

if  $i \geq 1$ ,  $h(f_i) = \{(x, y) \mid i \leq x^2 + y^2 \leq i+1\}$ .

So the image of the 1-skeleton under  $h$  is



Now suppose  $Y$  contains a subcomplex homeomorphic to  $\mathbb{R}$ , say  $Y' \subset Y$ . Then  $Y' \subset X^1$  is a union of 0-cells and 1-cells. Let  $n$  be the smallest integer such that  $(n, 0) \in h(Y')$ .

Claim: There is no neighbourhood of  $h^{-1}(n, 0)$  homeomorphic to an interval.

Proof: Since  $(n, 0)$  is the smallest in  $h(Y')$ ,  $Y'$  cannot contain the 1-cell  $e_{n-1}$ , otherwise  $h(Y')$  would contain  $(n-1, 0)$ .

Similarly,  $Y'$  cannot contain the 1-cell  $e_n^{circ}$ . If it did, then removing any point  $x \in \text{int}(e_n^{circ})$  from  $Y$  would leave a connected set  $Y' \setminus \{x\}$ , meaning that  $Y'$  cannot be homeomorphic to  $\mathbb{R}'$  ( $\mathbb{R} \setminus \{x\}$  disconnected for all  $x$ ).

Thus the only 1-cell attached to  $h^{-1}(n, 0)$  is  $e_n$ , and since  $h^{-1}(n, 0) \in \partial e_n$  there is no neighbourhood homeomorphic to an open interval.

⑦ Show that  $\mathbb{R}^n$  is a CW complex of dim n.

Lemma: For any  $m > 0$ ,  $\partial [0,1]^m = \partial [0,1] \times [0,1] \times \dots \times [0,1]$   
 $\cup [0,1] \times \partial [0,1] \times \dots \times [0,1]$   
 $\cup \dots$   
 $\dots \cup [0,1] \times [0,1] \times \dots \times \partial [0,1]$ .

Proof: If  $(x_1, \dots, x_m) \in \partial [0,1]^m$ , then there exists i such that  $x_i = 0$  or 1. Then

$$(x_1, \dots, x_m) \in [0,1] \times \dots \times \partial [0,1] \times \dots \times [0,1]$$

$\uparrow$  i<sup>th</sup> position.

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Notation: For a product of sets  $\prod_{i=1}^n U_i$ , let

$\partial^j (\prod_{i=1}^n U_i)$  denote

$$U_1 \times U_2 \times \dots \times \partial U_j \times \dots \times U_n$$

$\uparrow$   $\partial$  in j<sup>th</sup> coordinate.

$$\text{So with this notation, } \partial [0,1]^m = \bigcup_{j=1}^m \partial^j ([0,1]^m).$$

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To present  $\mathbb{R}^n$  as a CW complex, we will describe the images of all cells  $[0,1]^m$  in  $\mathbb{R}^n$ ,  $m < n$ , as follows:

Given  $\vec{k} = (k_1, \dots, k_n) \in \mathbb{Z}^n$ , consider the set  $S_{\vec{k}}$  of all products of the form  $\prod_{i=1}^n I_{k_i}$  where  $I_{k_i} = [k_i, k_{i+1}]$  or

$$I_{k_i} = \{k_i\} \text{ for } i=1, \dots, n.$$

Then the collection of all (images of) cells in  $\mathbb{R}^n$  is  $C = \bigcup_{\vec{k} \in \mathbb{Z}^n} S_{\vec{k}}$ .

First note that these cells cover  $\mathbb{R}^n$ : Given  $(x_1, \dots, x_n) \in \mathbb{R}^n$ , we have

$$(x_1, \dots, x_n) \in [Lx_1, Lx_1 + 1] \times [Lx_2, Lx_2 + 1] \times \dots \times [Lx_n, Lx_n + 1]$$

where the latter set is an element of  $S_{\vec{k}}$ ,

$\vec{k} = (Lx_1, \dots, Lx_n)$ , hence it is in the collection  $C$  of (images of) cells.

Next we check that the boundary of each cell is mapped into a union of cells of lower dimension.

Consider an arbitrary cell  $[0, 1]^m$  whose image in  $\mathbb{R}^n$  ( $m < n$ ) is a product of the form  $\prod_{i=1}^n I_{k_i}$  as

above, where  $I_{k_i} = [k_i, k_i + 1]$  for  $i \in M \subset \{1, \dots, n\}$  and  $I_{k_i} = \{k_i\}$  otherwise ( $|M| = m$ ). Then the image of the boundary  $\partial [0, 1]^m = \bigcup_{j=1}^m \partial^j [0, 1]^m$  is the

union  $\bigcup_{j \in M} \partial^j (\prod_{i=1}^n I_{k_i})$ . Note that each of

$\partial^j (\prod_{i=1}^n I_{k_i})$  is a union of two cells of dimension

$m-1$ , one for each endpoint of the interval  $I_{kj}$ . Thus the image of the boundary of each  $m$ -cell lies in the  $(m-1)$ -skeleton.

(Note: This is just a decomposition of  $\mathbb{R}^n$  into  $n$ -cubes, since (as we saw in the 'covering' argument) every  $n$ -cube with integer coordinates is a cell.  
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Topology 3240 March 13

Ch 7: Compactness.

Def: If  $Y \subset X$  are spaces, then a cover of  $Y$  is a collection of open sets  $\mathcal{O}$  (open in  $X$ ) such that  $Y \subset \bigcup_{U \in \mathcal{O}} U$ . A subcover of  $\mathcal{O}$  is a subset  $\mathcal{O}' \subset \mathcal{O}$  such that  $\mathcal{O}'$  is a cover of  $Y$ . A space  $Y$  is compact if every open cover has a finite subcover.

Examples:

Theorem: A subset  $A \subseteq \mathbb{R}$  is closed and bounded if and only if it is compact.

Proposition: Suppose that  $A \subset X$  is compact and  $f: X \rightarrow Y$  is continuous. Then  $f(A)$  is compact.

Proof: Let  $\{U_i\}_{i \in I}$  be an open cover of  $f(A)$ .

Then  $\{f^{-1}(U_i)\}_{i \in I}$  is an open cover of  $A$ , so there's a finite subcover  $\{f^{-1}(U_i)\}_{i=1}^n$ . But then

$$f(A) \subset \bigcup_{i=1}^n f(f^{-1}(U_i)) = \bigcup_{i=1}^n U_i,$$

so  $f(A)$  has finite subcover  $\{U_i\}_{i=1}^n$ .

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Changing open to closed and unions to intersections, we also get an equivalent formulation using De Morgan

Laws:

A family  $\mathcal{F} = \{F_i\}_{i \in I}$  has the finite intersection property if every finite intersection of sets in  $\mathcal{F}$  is nonempty.

Proposition: A space  $X$  is compact iff for every family  $\mathcal{F}$  of closed subsets of  $X$ , we have:

$$\mathcal{F} \text{ has the finite intersection property} \Rightarrow \bigcap_{F \in \mathcal{F}} F \neq \emptyset.$$

Solution: Apply De Morgan's Laws to the definition of compactness.

Related, we have

Proposition 6: A space  $X$  is compact iff for every family  $\mathcal{F}$  of subsets having the finite intersection property we have  $\bigcap_{F \in \mathcal{F}} \bar{F} \neq \emptyset$ .

## §7.2 Properties of compact spaces.

Proposition: If  $X$  is compact and  $A \subset X$  is closed, then  $A$  is compact.

Proof: Let  $\{U_i\}_{i \in I}$  be an open covering of  $A$ .

Then  $\{U_i\}_{i \in I} \cup A^c$  is an open covering of  $X$ , so choose a finite subcover  $\mathcal{U}$  of  $X$ . Then  $\mathcal{U} \setminus \{A^c\}$  is a finite subcover of  $A$ .

Proposition: Every compact subset of a Hausdorff space is closed.

Proof: We show that if  $A \subset X$  is <sup>compact</sup>closed,  $X$  Hausdorff, then  $\forall x \in A^c$ ,  $x \in \text{int}(A^c)$ .

Given  $x \in A^c$ , for every  $y \in A$  there are nbhds

$U_x^y$  of  $x$  and  $V_y$  of  $y$  such that  $U_x^y \cap V_y = \emptyset$ .

Then  $\{V_y\}_{y \in A}$  is an open covering of  $A$ , so there is a finite subcover  $\{V_1, \dots, V_n\}$  that still covers  $A$ .

Each of  $V_1, \dots, V_n$  has an associated neighbourhood  $U_i$  of  $x$ . Then  $\bigcap_{i=1}^n U_i$  is again an open nbhd of  $x$  (since the intersection is finite), and  $\left(\bigcap_{i=1}^n U_i\right) \cap A = \emptyset$  since the  $V_i$  cover  $A$  and  $U_i \cap V_i = \emptyset$ . Thus  $x \in \text{int}(A^c)$ .

Corollary: Suppose that  $X$  is compact and  $Y$  is Hausdorff. If  $f: X \rightarrow Y$  is continuous and bijective, then  $f$  is a homeomorphism.

Proof: Given  $U \subset X$  open, we must show that  $f(U)$  is open. However, this follows from:

$$U \text{ open} \Rightarrow U^c \text{ closed}$$

$$\Rightarrow U^c \text{ compact since } X \text{ compact}$$

$$\Rightarrow f(U^c) \text{ compact}$$

$$\Rightarrow f(U^c) \text{ closed, since } Y \text{ Hausdorff}$$

$$\Rightarrow f(U) \text{ open.}$$



## Theorem (Proof wiki)

Let  $X_1$  and  $X_2$  be spaces. Then  $X_1 \times X_2$  is compact iff  $X_1$  and  $X_2$  are compact.

Proof: ( $\Rightarrow$ ) If  $X_1 \times X_2$  is compact then  $p_i: X_1 \times X_2 \rightarrow X_i$  provides a surjection from  $X_1 \times X_2$  onto  $X_i$ ,  $i=1,2$ . Since  $p_i$  is continuous and  $X_1 \times X_2$  is compact,  $X_i$  is compact also.

( $\Leftarrow$ )

Suppose  $X_1$  and  $X_2$  are compact. Let  $\mathcal{W}$  be an open covering of  $X_1 \times X_2$ . Define the terminology good as follows:

A subset  $A \subset X_1$  will be called good for  $\mathcal{W}$  if  $A \times X_2$  is covered by a finite subset of  $\mathcal{W}$ . We'll show  $X_1$  is good for  $\mathcal{W}$ .

We first show that  $X_1$  is locally good, i.e.  $\forall x \in X_1$ ,

$\exists$  an open set  $U(x)$  such that  $x \in U(x)$  and  $U(x) \times X_2$  is good.

Fix  $x \in X_1$ . For each  $y \in X_2$ ,  $(x,y) \in W(y)$  for some  $W(y) \in \mathcal{W}$ . There exists a basic open set containing  $(x,y)$  that lies entirely in  $W(y)$ , ie  $\exists U(y), V(y)$  open in  $X_1, X_2$  s.t.

$$(x,y) \in U(y) \times V(y) \subseteq W(y).$$

Then  $\{V(y) \mid y \in X_2\}$  is an open cover of  $X_2$ , choose a finite subcover  $\{V(y_1), \dots, V(y_r)\}$  and set  $U(x) = U(y_1) \cap \dots \cap U(y_r)$ . Then

$$U(x) \times V(y_i) \subset U(y_i) \times V(y_i) \subseteq W(y_i)$$

Therefore

$$U(x) \times X_2 = U(x) \times \bigcup_{i=1}^r V(y_i) \subseteq \bigcup_{i=1}^r W(y_i), \text{ so } U(x)$$

is good, ie  $X_1$  is locally good.

Now we remark: If  $A_1, \dots, A_r$  are all good subsets of  $X_1$ , then so is  $A = \bigcup_{i=1}^r A_i$ . For each

$A_i \times X_2$  is covered by a finite subcover  $W_i$  of  $W$ , hence  $A \times X_2 = \bigcup_{i=1}^r (A_i \times X_2)$  is covered by  $\bigcup_{i=1}^r W_i$ , which is a finite subset of  $W$ .

Now use localgoodness + unions to show  $X_1$  is good.

The sets  $\{U(x) \mid x \in X_1 \text{ and } U(x) \text{ is good}\}$  is an open covering of  $X_1$  since  $X_1$  is locally good. There is a finite subcover since  $X_1$  is compact.